Instructions Rev. 06/24/2003

COMMONWEALTH OF KENTUCKY

Instructions for Obtaining a Kentucky State ABC License

REQUIREMENTS:

- a. You must be at least 21 years of age to apply.
- b. You must be a Ky. Resident for the past year unless you apply as a corporation, LLC or Ltd. Partnership.
- c. You must be a citizen of the US unless you apply as a corporation, LLC or Ltd. Partnership ownership.
- d. Individuals, partners, officers, directors or managers may not apply if, within the past 5 years, they have been convicted of any felony; or within the past 2 years have been convicted of any alcohol or controlled substance misdemeanor.
- STEP 1. You must advertise your intention to apply for an ABC license(s) one time in a newspaper of general circulation in the area where the premises to be licensed is located. An officer of the newspaper must complete the affidavit of publication, which is enclosed. The completed affidavit and clipping must be attached to your application.
- STEP 2. Answer all questions and have the form notarized. Incomplete or deficient applications delay processing and your application may be returned.
- STEP 3. Attach a **certified check, cashier check, or money order payable to: Kentucky State Treasurer** for your application fee(s). See the attachment to determine the appropriate amount due based on license type and premises location.
- STEP 4. Kentucky residents must submit the appropriate fee **payable to: Kentucky State Treasurer** for the Administrative Office of the Courts to obtain a statewide Kentucky police record check. The cost is \$10.00 per person and a record check must be conducted on all persons listed in the Basic Application under Section D-5. You may submit one (1) check for all backgrounds provided this check is separate from your check for licensing fees. Non-residents are responsible for providing a **statewide** police record check from their state(s) of residence for the past five (5) years. If you have not lived in Kentucky for five (5) years, you must submit a statewide police record check from the state(s) previously resided in for those years along with your fee for Kentucky background checks.
- STEP 5. If you apply as a corporation, limited partnership, or limited liability company, attach a copy of your articles of incorporation; partnership papers, or organizational papers from the Secretary of State. Your company must be approved to do business in the state of Kentucky and in good standing with the Kentucky Secretary of State's Office.
- STEP 6. If you do not own the real estate where you will sell alcohol, attach a signed copy of your lease. All lease agreements must run through the full period of your license.
- STEP 7. Contact the Kentucky Revenue Cabinet at (502) 564-3306 to obtain the proper Kentucky sales tax numbers for your business. Your license will not be issued without these numbers.
- STEP 8. Attach any other required affidavits such as: fire marshal certificate of seating capacity for restaurants; surety bonds for manufacturers and wholesalers, etc... Your Schedule(s) will list any attachments you need to make.
- STEP 9. Take your application(s) to your local ABC administrator and obtain their signature of approval on your state applications(s).

New licenses take approximately 30 – 60 days to process. If your license is not issued for any reason, you must submit a written request for a refund. The Department will retain \$50 of your application fee for processing costs.

If you have any questions or need assistance, please contact our department or visit our web site.

http://abc.ppr.ky.gov

FRANKFORT: Department of Alcoholic Beverage Control

1003 Twilight Trail Frankfort, KY 40601-8400 (502) 564-4850 phone (502) 564-1442 fax

NOTE: You are required to obtain a Federal Special "Occupational Tax" License from the Alcohol and Tobacco Tax and Trade Bureau (*TTB*) for \$250 per year. You must contact their office to obtain an application form and information about your federal permit:

Alcohol and Tobacco Tax and Trade Bureau, Telephone Number (513) 684-3334
National Revenue Center

550 Main St., Cincinnati, Ohio 45202-3263

Page-1-Liquor/Wine by Areas Rev. 02/04/04

TYPE OF LIQUOR AND WINE LICENSES AVAILABLE BY AREAS

	eas qualifie	d to hold any type of ligu	or and or wine by the dr	iiin iiceiise v	<u>OR</u> by the package license.	
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1 st , Class (Jefferson County		F)	
2 nd . Class Cities		City of Ashland		Fayette C		
		City of Bowling Green		Franklin (
		City of Richmond		Henderson County		
		Christian County		Kenton County		
		Daviess County		McCracke	en County	
3 rd . Class Cities		City of Nicholasville		Clark Cou	ıntv	
		City of Pikeville		Mason Co	ountv	
		Boone County		Perry County		
		Bourbon County		1. 0, 000	,	
4 th . Class Cities		City of Augusta		City of Morehead		
1 . 01466	Oilioo	City of Ragdota		City of Shepherdsville		
		City of Carrollton		Oity of Oil	icprici dovine	
		City of Carrolleri				
	Δ	reas qualified to hold by	the package liquor licen	ses & RWI	licenses only	
4 th . Class (Cities	City of Central City	Anderson County		Shelby County	
	Citics	City of Central City City of Cumberland	Bracken County		Union County	
		City of Eminence	Bullitt County		Washington County	
		City of Falmouth	Floyd County		Woodford County	
		City of Madisonville	Fulton County			
		City of Morehead	Magoffin County			
		City of Russellville	Marion County			
		City of Springfield	Nelson County			
46		City of Vanceburg	Nicholas County			
5 th . Class (Cities	Gallatin County				
		Meade County				
		Wolfe County				
		Areas qualified	to hold Sunday Liquor o	drink Licens	es.	
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SD LS	Ouglifying I	rink licensees in Campbell	and Kenton Counties by s	special election	Ons.	
LS					Counties, and Cities of Bardstown	
		een, Florence, Maysville, C			9.	
LLS	. Onalitving	70% food restaurants in the Cities of Elizabethtown and Radcliff.				
				ensees in City of Murray.		
GOLFLS	GOLF Lice	nsees in City of Murray.				
GOLFLS RS	GOLF Lice LD and PC	nsees in City of Murray. licensees in Jefferson Cou	ınty.			
GOLFLS RS	GOLF Lice LD and PC All wet area	nsees in City of Murray. licensees in Jefferson Cou as holding Convention Cen	ınty.	acks, Horse F	Race Tracks, and Commercial	
GOLFLS RS ESL	GOLF Lice LD and PC	nsees in City of Murray. licensees in Jefferson Cou as holding Convention Cen	ınty.	acks, Horse F	Race Tracks, and Commercial	
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GOLFLS RS ESL	GOLF Lice LD and PC All wet area Airport Lice	nsees in City of Murray. licensees in Jefferson Cou as holding Convention Cen enses. hold restaurant drink liqu	inty. ters, Automobile Race Tra uor with 50% food sales	(RD), motel	Race Tracks, and Commercial drink liquor (ML), restaurant win	
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Page-2-Liquor/Wine by Areas

Rev. 02/04/04

TYPE OF LIQUOR AND WINE LICENSES AVAILABLE BY AREAS

Areas that qualify to hold Limited Restaurant Liquor/Wine/Beer by the Drink with 70% food sales by election under KRS 242.185(6)

City of Corinith
City of Corbin
City of Danville
City of Elizabethtown
City of Georgetown
City of Guthrie
City of Harrodsburg
City of Kuttawa
City of Mayfield

County of Oldham County of Shelby

Premises that qualify to hold Golf Course Liquor/Wine/Beer by the Drink Licenses by local option elections in dry counties.

Boyle County Calloway County Hardin County Jessamine County

City of Murray City of Radcliff

> Old Bridge Golf Club Murray Golf Course Pine Valley Golf course

Jessamine County Champions Golf Course in Nicholasville

Madison CountyArlington Golf CourseMadison CountyBull Run Golf CourseScott CountyLongview Golf Course

Shelby County Persimmon Ridge Golf Course Union County Breckinridge Golf Course

Premises that qualify to hold Farm or Small Winery Licenses by local option elections in dry counties.

Boyle County
Henry County
Jessamine County
Letcher County
Madison County
Pulaski County
Scott County
Washington County
Washington County
Washington County
Washington County

Smith-Berry Vineyard Chrisman Mill Vineyards Highland Winery Acres of Land Winery Sinking Valley Vineyards Ky.'s Eden Ridge Winery Rolling Hills Vineyards Simple Pleasures Wines Long Lick Farm Winery

Old Crow Inn Winery

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EXAMPLE OF PUBLIC NOTICE WHEN APPLYING FOR AN ABC LICENSE

KRS 243.360 requires a person to first advertise their intention to apply for these licenses in the newspaper. Please use the following to assist you with this requirement. Place your advertisement in the legal section of the newspaper having the largest circulation for the county or city where your premises will be located.

YOUR ADVERTISEMENT SHOULD READ AS FOLLOWS: (Fill in the blanks)

			, 	, Mailing address
(List the Name of each individ	ual owner(s) or the name of the	e Corporation, L	td, or L.L.C. the license will be i	ssued under)
			Herel	by declares intention(s)
	(Include Street, City, Sta	te and Zip)		
to apply for a				license(s)
(List all license types)	ou are applying for. (Example)	Retail Liquor by	y the Drink, Retail Beer, Restau	rant Liquor by the Drink,
	Retail Liquor Package, F	Restaurant Wine	by the Drink and so on)	
no later than			, The busines	s to be licensed will be
(Enter the	date you intend to make applic	ation to the Stat	te ABC)	
located at			Kentu	ıcky
(List the <u>EX</u>	ACT street address and city wi	here the ABC lid	cense is to be issued)	(Zip)
doing business as				
	(List th	ne name of your	business (D.B.A.))	
The (owner(s); Principal O	fficers and Directors; Limited	d Partners; or l	Members) are as follows:	
		of		
Title or position	Name		Home address, city, sta	te and zip code
		of		
Title or position	Name		Home address, city, sta	te and zip code
		of		
Title or position	Name		Home address, city, sta	te and zip code
		of		
Title or position	Name		Home address, city, sta	
,		of	, ,	•
Title or position	Name		Home address, city, sta	te and zip code
· 		of		·

Any person, association, corporation, or body politic may protest the granting of the license(s) by writing the Department of Alcoholic Beverage Control, 1003 Twilight Trail, Frankfort, Ky. 40601-8400, within 30 days of the date of this legal publication. (End of advertisement)

Forward a clipping of this advertisement along with the Affidavit of Publication to:

Kentucky Alcoholic Beverage Control 1003 Twilight Trail Frankfort, Kentucky 40601-8400 (502) 564-4850 phone (502) 564-1442) fax Page 2 – Advertisement Rev. 08/10/2002

Commonwealth of Kentucky Department of Alcoholic Beverage Control 1003 Twilight Trail Frankfort, Kentucky 40601-8400

(502) 564-4850 phone (502) 564-1442 fax

AFFIDAVIT OF PUBLICATION

<u>Attesting Publication of Intention to Engage in an</u>
<u>Alcoholic Beverage Business</u>



The following Affidavit of Publication is to be executed by an officer of the newspaper in which the application advertised, one time before date of application for an alcoholic beverage license, his/her intention to engage in the business authorized by the license applied for. A clipping of the advertisement must be attached to this Affidavit of Publication.

			of	
	(Name of Officer at Newspaper)		(City)	(State)
Being first dul	y sworn, says that he / she is			
		(Title of	Position at Paper)	
of the			_ a newspaper printed	and published in the
	(Name of Newspaper)			
State of	County of	, and h	aving a general circul	ation in the County of
Published in s	aid newspaper on the following da Signature			
Subscr	ribed and sworn to before me, a No	otary Public within a	and for the State and	County aforesaid, by
	to me personal	ly known, this	day of	(year)
My Commissio	on expires theday of			(year)
County of	No	tary Public		

THIS AFFIDAVIT PROPERLY EXECUTED MUST BE ATTACHED TO THE ABC APPLICATION FOR LICENSING.

LEASE AGREEMENT

I, (We)	·
hereby agree to lease to	
the premises located at	
	,
	inCounty, Kentucky.
The said lease sha	all be for a term of,
beginning	and ending
The rent shall be payabl	e at a rate of
I understand and	agree upon, that the premises herein named shall be use
for lawful purposes only	<i>I</i> .
	Lessor X
	Lessor X
	Lassaa V
	Lessee X
	Lessee X
Subscribed and sworn to	before me, a Notary Public, on this the
day of	, 20, by the above Lessor and Lessee.
	Notary Public
My commission expires	·

Page 1- ABC Basic Rev. 08/10/2002

COMMONWEALTH OF KENTUCKY DEPARTMENT OF ALCOHOLIC BEVERAGE CONTROL

Site I.D. #

1003 Twilight Trail Frankfort, Kentucky 40601-8400 502.564.4850 phone 502.564.1442 fax

"BASIC APPLICATION FOR ALCOHOLIC BEVERAGE LICENSES"

Applications may be returned if all questions are not answered completely.

License #	\$		k – For ABC Use On License			\$	Val		
License #									
Malt Beverage Administrator's Ap	proval					Date _			
Distilled Spirits Administrator's Ap	proval					Date _			
(A) Applicant's name(s) or company DBA (Name of Business)					_	ssued in the ap	numbers (must plicant's name) de the number o).	
Address of premises to be licens						discrepancies in the name will prevent this application from being			
City						processed.			
Mailing address if different from						Ky. Sales & Use	e Tax #		
Contact person 8:00 am – 4:30							Tax #		
Contact phone List all schedules you have attac							ax #		
(C)		Enter amount of	Tiee enclosed \$		<u> </u>	Federal EIN #_			
 List all types of licenses you What Month do you want you Are you the owner of the re If no, you <i>must attach</i> a signifull period of your license exhibit the name of the owner 	our license(s) al estate whe	to become effective?ere these premises are to your lease. ABC will no	b be licensed? of issue or renew a	ny license(s) u	nless this	lease extends the	Yes hrough the		
(D) 5. Complete the following for the l directors, partners, managing me	embers, men		unless publicly he	eld). Show 100	% of the o		t all owners, of	ficers,	
NAME AND ADDRESS	6	ALL PHONE NUMBERS H = HOME W = WORK F = FAX 0 = OTHER	SOCIAL SECURITY NUMBER	TITLE	USA CITIZEN	DATE OF BIRTH	LIST DATE & STATE WHERE YOU RESIDED IN PAST 5 YRS.	% OF OWNERSHIP	
		H W F O			□ Yes			%	
		H W F O			□ Yes			%	
H W F O									

Pag	e 2- ABC Basic- Rev. 08/10/2002	Site I.D. #				
(E)						
6.	6. Is the applicant a corporation, limited partnership, or limited liability company, in good standing with the Ky. Secretary of State? List the State Incorporated or organized in Attach a copy of your Articles of Incorporation, which shows the filing date with the Kentucky Secretary of State's Office.					
7.						
8.	3. Are the premises to be licensed located within an incorporated city or town?					
9. Have you ever been licensed to sell alcoholic beverages? If yes, give the name of the state and license number(s)						
	If Kentucky, are you transferring this license to a new location? ☐Yes ☐No					
10.	10. Does anyone named in section D 5 of this application have any interest in any kind of alcoholic beverage business or the premises of any alcoholic beverage business other than that for which you are herein applying?					
11.	a. Has the applicant or any person named in section D 5 been convicted of any felony?b. Has the applicant or any person named in section D 5 been convicted of a misdemeanor directly or indirectly a controlled substance?	□Yes □No related to alcohol or □Yes □No				
	If yes to either question, attach a statement giving a full explanation, including date(s) of conviction(s).					
12.	Has a license been suspended or revoked or denied for the premises or any person named herein? If yes, attach a statement giving a full explanation, including dates of suspension, revocation, or denial.	□Yes □No				
13.	Are the premises to be licensed and the entrance located on the street level?	□Yes □No				
	If no, is the business a hotel, club or restaurant?	□Yes □No				
14.	A. Have the premises been licensed to sell alcoholic beverages in the past twelve months?	□Yes □No				
	b. Are the premises currently licensed?	□Yes □No				
	c. If yes, give the Kentucky License number (s)					
	d. Is the license being transferred to you?	□Yes □No				
15.	Are you acquiring an interest in an existing business?	□Yes □No				
	If yes, check all the following boxes that apply to you. ☐ Inventory ☐ Fixtures and Equipmen					
		ner				
(F)	THE SELLER SHOULD COMPLETE THIS SECTION IF ITEM # 15 HAS BEEN ANSWERED OR IF SOMEONE IS TRANSFERRING THEIR LICENSE (S) TO YOU. the seller(s) or	"YES" owner(s) of the business known				
`	(Enter the exact name(s) that appears on the current license(s)	()				
as_	located at	Kentucky, am the				
holo		other) license(s). The license				
num	ber(s) is (are) I hereby represent that I have agreed to convey					
by la	aw) to I (we) understand that I (we) <u>may not</u> (Enter the exact name(s) that is applying to become the new licensee)	relinquish control of the business,				
prer	nises, or my interest in the licenses until such time as the buyer's application has been approved by the Departme	ent of Alcoholic Beverage Control.				
Sig	nature of Seller Title Title Title (If a partnership, all partners must sign. If a corporation, only one officer must sign)	Date				
	orn or affirmed before me on this day of, year of My Commission expires					
Nota	Notary Public County of State of (Canadian applicants are exempt from this notary requirement)					
(G)	AFFIDAVIT OF BUYER OR NEW PERSON APPLYING FOR THE ABC LICENSE (S)					
any Alco ordi	(☐ Buyer or ☐ New Applicant), do hereby swear or affirm that lication and all its attachments are true and correct to the best of my knowledge, information and belief. I furthe activity involving alcoholic beverages at the premises described herein until I have been issued the appropriate sholic Beverage Control. Once the license(s) is issued, I hereby swear or affirm that I well abide by all state an anances relating to the manufacture, sale, use, and trafficking in alcoholic beverages.	r agree that I shall not engage in e license(s) by the Department of d local statutes, regulations, and				
	nature of Buyer or New Applicant Title					
	orn or affirmed before me on this day of, year of My Commission ex					
NOt	ary Public County of (Canadian applicants are exempt from this notary requirement)	Sidie UI				

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SCHEDULE "L" RESTAURANTS – GOLF COURSES LOCATED IN LIMITED WET TERRITORY

Site I.D. #

Leave Blank – For ABC Use Only

License	e#	_\$	Validating #	License #	\$	Validating # _	
Distille	d Spirits Administrato	or's Approval				Date	
Malt Be	everage Administrato	r's Approval				Date	
Δnn	olicant's name(s)	or company t	o he licensed				
Add	iress of premises	to be license	ed				
1.	Are you applying	for a Limited R	estaurant Alcoholic B	everage by the Drink Licer	nse?	□ Yes	□ No
	at least 70% of yo	our gross receip	ts from the sale of food	by operating a restaurant ar and seat a minimum of 100 ur Food Service Permit issu	persons?	□ Yes	□ No
2.	Are you a Golf Co Golf Alcoholic E			for a liquor, wine and beer by	y the drink	□ Yes	□ No
			ents of KRS 242.123 and iation) regulation golf co	d 242.1232 as 9 and or 18 h ourse?	noles	□ Yes	□ No
3.			ental Bar License? do you wish to license?			□ Yes	□ No
4.	(Available only to	holders of a Lir ties of Elizabeth	town and Radcliff that s	cense? olic Beverage By the Drink L eats at least 100 persons fo		□ Yes	□ No
5.	If yes, KRS 244.0	50 requires you		g License? ucky Retail Distilled Spirits & Drink License Number at the			□ No
knowle may no	edge, information and ot begin to operate w	I belief. I incor ith alcohol activ	oorate this schedule into ity until the Kentucky AE	ed in this application and al o my basic application for a BC Department has issued r the manufacture, sale, use c	Kentucky alcoholic bever my license(s). I further sw	age license. I ur ear or affirm I sha	nderstand I
Sigr	nature of Applicar	nt		Title		Date	
Take				ve this application before it is C Administrator. Obtain the for			ngements
This	certifies that the	applicant(s) h		have been approved for es above specified.	or the types of license	applied for an	d for the
SIGN	ATURE OF APPR	OVAL OF LO	CAL ABC ADMINIST	RATOR		Date	
☐ Cit	ty of		Administrator	(or) the □ County of		Administr	ator
			ITUCKY DEPARTMENT 10 Frankfort	ion, all attachments, and y Γ OF ALCOHOLIC BEVERA 003 Twilight Trail t, Kentucky 40601-8400 phone 502-564-4850			_

Fax 502-564-1442

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TYPES OF LICENSE & FEES

Site I.D. #

Check ✓ the boxes for the type(s) of license(s) you are applying for.

To determine the ABC license fee(s), find the license type(s)
In the left column, then move right across the table to the month that the license will become effective.

Attach a certified check, cashier check, or a money order.

Make payable to: KENTUCKY STATE TREASURER

LICENSE TYPE	<u>PREFIX</u>	•	FULL YEAR FEE Pay this amount	HALF YEAR FEE Pay this amount			
☐ LIMITED RESTAURANT ALCOHOLIC BEVERAGE BY THE DRINK LICENSE (liquor / wine / beer)	LR		Pay fee for the largest city in the county to be licensed.	Pay fee for the largest city in the county to be licensed.			
☐ LIMITED SUPPLEMENTAL BAR	LSBL		moonlood.	mooriood.			
PER BAR How many ☐ after 5 th . license no fees charged, but license is required			1 st . class city 1,200.00	1 st . class city 600.00			
☐ GOLF ALCOHOLIC BEVERAGE LICENSE (liquor / wine/ beer by the drink only)	GOLF		2 nd . class city 900.00	2 nd . class city 450.00			
☐ GOLF SUPPLEMENTAL BAR PER BAR How many ☐ after 5 th . license no fees charged, but license is required.	GSBL		3 rd . class city 800.00	3 rd . class city 400.00			
alter 3 . licerise no lees charged, but licerise is required.			All others 700.00	All others 350.00			
☐ LIMITED SUNDAY LIQUOR DRINK (Available only to holders of a Limited Restaurant Alcoholic Beverage By the Drink License in the Cities of Elizabethtown & Radcliff that seat at least 100 persons for dining and receive at least 70% of its gross annual income from the sale of food.)	LLS		500.00	250.00			
☐ RETAILER'S LIQUOR DRINK SAMPLING	DRS		100.00	50.00			
TOTAL							
KRS 243.360 requires an applicant to <u>first advertise</u> their intention to apply for these licenses in the newspaper please use the attached example to assist you with this requirement. (If you are currently licensed and only adding a Sunday or a supplemental bar license to your premises you are not required to run this advertisement.) Place your advertisement in the <u>legal section</u> of the newspaper having the largest circulation for the county or city where your premises will be located. After your advertisement has appeared in the paper, obtain a clipping from the paper and attach the Affidavit of Publication to your							
ABC application. The Affidavit of Publication is enclosed and should be completed by an official of the newspaper where the advertisement appeared.							
CHECK LIST 1. Have you attached a certified check, cashier check or money order, payable to: Ky. State Treasurer for your License fees and a separate check for your Kentucky Background checks? 2. Have you answered each question fully and checked the type(s) of license(s) you are applying for? 3. Have you signed your application(s) and had your signature notarized? 4. Have you secured the signature of approval from your local ABC Administrator on this application? 5. Have you attached a certified copy of your newspaper advertisement for this license? 6. Have you attached articles of incorporation, partnership papers, or other organizational papers? 7. Have you attached a signed copy of your lease that does not expire before your license expires? 8. If you are applying as a restaurant, have you attached a certificate of documentation of seating capacity by the Fire Marshal's office or its equivalent?							